Vivek Surana & Associates

Practicing Company Secretaries

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Secretarial Compliance Report of Ortin Laboratories Limited for the year ended 31.03.2019

We, S.S. Reddy & Associates, Practicing Company Secretaries have examined:

- (a) All the documents and records made available to us and explanation provided by Ortin Laboratories Limited ("the listed entity"),
- (b) The filings / submissions made by the listed entity to the stock exchanges,
- (c) Website of the listed entity,
- (d) Any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended 31st March, 2019 ("Review Period") in respect of compliance with the provisions of:

- (a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
- (b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; Not Applicable
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; Not
 Applicable
- Securities and Exchange Board of India (Share Based Employee Benefits) Regulations,
 2014; Not Applicable
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities)
 Regulations, 2008; Not Applicable
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; Not Applicable
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; and circulars/ guidelines issued there under;

And based on the above examination, we hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars / guidelines issued there under wherever applicable, except in respect of matters specified below:

Sr. No	Compliance requirement (Regulations/ circulars/ guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company secretary
	NIL		

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars / guidelines issued wherever applicable, there under in so far as it appears from my/our examination of those records.



(c) The following are the actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts / Regulations and circulars/ guidelines issued there under:

SI. No	Action taken by	Details of Violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company secretary, if any.
1	BSE and	Non- compliance of	Both the	The Company has
	NSE	Regulation 17(1) of	Exchanges have	submitted its reply
		SEBI (LODR)	imposed a penalty	stating that it has
		Regulations, 2015 for	for not constituting	complied with the
		the quarter ended	the Board as per	provisions of
		31.03.2019 for not	Regulation 17(1)	Regulation 17(1)
		constituting the	of SEBI (LODR)	of SEBI (LODR)
		Board as per the	Regulations, 2015	Regulations, 2015
		above said		and the decision of
		Regulation.		the Exchanges on
	-	Hills to see !		the same is
		The state of the		awaited.



(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No	Observations of	Observations	Actions taken	Comments of
	the Practicing	made in the	by the listed	the Practicing
	Company	Secretarial	entity, if any	Company
	Secretary in	compliance		Secretary on
	previous reports	report for the		the actions
		year ended 31st		taken by the
		March 2019		listed entity
		Not Applicab	le	1

Place: Hyderabad Date: 30-05-2019

> For Vivek Surana & Associates Practicing Company Secretaries

> > CP.NO.

Vivek Surana, Proprietor

M. No.24531, CP No: 12901